

PTO/SB/97 (08-00)

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on Nov. 11, 2002  
Date

Signature

DJ Bogorodsky

Typed or printed name of person signing Certificate

In re: application of: Fei XieApplication Number: 09/747,392Filed: December 22, 2000Title: Methods of Recording Voice Signals in a Mobile SetAtty Docket Number: 019717-004100US JMZ/djb

Being faxed to Group 2681 at facsimile number 1-703-872-9314 are the following  
documents:

This PTO/SB/97 Certificate of Transmission (1 page);

PTO/SB/21 Transmittal Form (1 page);

PTO/SB/17 Fee Transmittal (1 page submitted in duplicate);

PTO/SB/22 Petition for Extension of Time (1 page);

Copy of Notice to File Missing Parts of NonProvisional Application (2 pages);

Copy of signed Declaration (1 page)

Number of pages being transmitted: 8

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Washington, DC 20231.  
PA 3263525 v1

fax ref. # 826030

PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/747,392
Filing Date	December 22, 2000
First Named Inventor	Xie, Fei
Group Art Unit	2681
Examiner Name	
Attorney Docket Number	019717-004100US

Total Number of Pages in This Submission 8

**ENCLOSURES (check all that apply)**☒ PTO/SB/17 Fee Transmittal (1 pg submitted in duplicate)☐ Fee Attached☐ Amendment / Reply☐ After Final☒ Copy of signed declaration (1 pg)☒ PTO/SB/22 Extension of Time Request (1 pg)☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/ Incomplete Application☒ Copy of Notice to File Missing Parts of Nonprovisional Application (2 pgs)☐ Assignment Papers (for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

1. PTO/SB/97 Certificate of Transmission (1 pg)

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm and Individual name

Townsend and Townsend and Crew LLP

J. Matthew Zigmant

Reg. No. 44,005

Signature

Date

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 872-9314 on 11-11-02

Typed or printed name

DJ Bogorodsky

Signature

Date

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# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 160

## Complete if Known

Application Number 09/747,392  
 Filing Date December 22, 2000  
 First Named Inventor Xia, Fei  
 Examiner Name  
 Group Art Unit 2551  
 Attorney Docket No. 018717-004100US

## METHOD OF PAYMENT (check off that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account:

Deposit Account Number 20-1430

Deposit Account Name Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1001	740	Utility filing fee	
		1002	330	Design filing fee	
		1003	510	Plant filing fee	
		1004	740	Reissue filing fee	
		1005	160	Provisional filing fee	

SUBTOTAL (1)

(\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1202	18	Claims in excess of 20	
		1201	84	Independent claims in excess of 3	
		1203	280	Multiple dependent claim, if not paid	
		1204	84	** Release independent claims over original patent	
		1205	18	** Release claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$)

\*For number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1051	130	Surcharge - late filing fee or oath	65
		1052	50	Surcharge - late provisional filing fee or cover sheet	
		1053	130	Non-English specification	
		1812	2,520	For filing a request for reexamination	
		1804	920*	Requesting publication of SIF prior to Examiner action	
		1805	1,840*	Requesting publication of SIF after Examiner action	
		1251	110	Extension for reply within first month	65
		1252	400	Extension for reply within second month	
		1253	920	Extension for reply within third month	
		1254	1,440	Extension for reply within fourth month	
		1255	1,980	Extension for reply within fifth month	
		1401	320	Notice of Appeal	
		1402	320	Filing a brief in support of an appeal	
		1403	280	Request for oral hearing	
		1451	1,510	Petition to institute a public use proceeding	
		1452	110	Petition to revive - unavoidable	
		1453	1,280	Petition to revive - unintentional	
		1501	1,280	Utility issue fee (or reissue)	
		1502	450	Design issue fee	
		1503	620	Plant issue fee	
		1460	130	Petitions to the Commissioner	
		1807	50	Petitions related to provisional applications	
		1808	180	Submission of Information Disclosure Stmt	
		8021	40	Recording each patent assignment per property (three number of properties)	
		1809	740	Filing a submission after final rejection (37 CFR § 1.129(a))	
		1810	740	For each additional invention to be examined (37 CFR § 1.129(b))	
		1801	740	Request for Continued Examination (RCE)	
		1802	800	Request for expedited examination of a design application	
				Other fee (specify) Filing and Independent claims fee adjustment	40

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

(\$160)

## SUBMITTED BY

Name (Print/Type) J. Matthew Zigmant Registration No. (Attorney/Agent) 44,005 Telephone 650-326-2400  
 Signature [Signature] Date November 11, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on FTO-2039.

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NOV 11 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/747,392	12/22/2000	Fei Xie	019717-004100US

CONFIRMATION NO. 9440

20350  
TOWNSEND AND TOWNSEND AND CREW, LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER



\*OC00000008899335\*

Date Mailed: 08/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

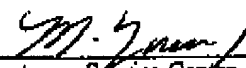
The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$105 for a Small Entity

- Total additional claim fee(s) for this application is \$40
  - \$40 for 5 independent claims over 3.

*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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